



Atty Docket No.: SHO-0055  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Kazuo OKADA

Group Art Unit: 3714

Application No.: 10/697,281

Examiner: C. E. Rendon

Filed: October 31, 2003

Confirmation No.: 8441

For: **GAMING MACHINE**

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
  - excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar,<sup>100, 101, 102, 103</sup> is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which

is determined to be a *prima facie* prior art reference against the claims of the present application.

- 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application (37 C.F.R. §1.97(c)). Please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §1.17(p).
  
- 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013, as needed, to ensure consideration of the disclosed information.

Date: August 31, 2007

Respectfully submitted,  
  
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Used in Lieu of PTO/SB/08A/B  
(Based on PTO 04-07 version)

Substitute for form 1449/PTO				<b>Complete if Known</b>	
				Application Number	10/697,281-Conf. #8441
				Filing Date	October 31, 2003
				First Named Inventor	Kazuo OKADA
				Art Unit	3714
				Examiner Name	C. E. Rendon
Sheet	1	of	1	Attorney Docket Number	SHO-0055

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
BA	JP-10-290856-A	11-04-1998	UNIVERSAL HANBAI KK		
BB	JP-07-100241-A	04-18-1995	OLYMPIA KK		
BC	JP-2002-291979-A	10-08-2002	FUJI SHOJI KK		
BD	JP-2002-186735-A	07/02/2007	SANKYO CO.		
BE	JP-2001-204891-A	07-31-2001	SANKYO CO.		
BF	JP-2000-140262-A	05-23-2000	SOPHIA CO., LTD.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			<input type="checkbox"/> T <sup>2</sup>
	CA	Reference Sheet re: Information Statement issued by third party on June 5. (1 page)			
	CB	Information Statement, App. No. 2002-337135, June 5, 2007 (6 pages)			
	CC	JPO Office Action, App. No. 2002-337135, July 25, 2007 (2 pages) with English translation of Decision of Rejection by Examiner Toyoo Tetsu, 9024 2N00 (1 page)			

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Examiner Signature		Date Considered	
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